

## PUBLIC UTILITIES COMMISSION

505 VAN NESS AVENUE  
SAN FRANCISCO, CA 94102-3298



December 4, 2020

Jeffrey T. Linam  
Vice President of Rates & Regulatory  
California-American Water Company  
4701 Beloit Drive  
Sacramento, CA 95838-2434

Dear Mr. Linam,

The Commission has approved California-American Water Company's Advice Letter No. 1297, filed on June 10, 2020, regarding 2017 WRAM & MCBA Non-Residential for the Monterey Service Area.

Enclosed are copies of the following revised tariff sheets, effective June 27, 2020, for the utility's files:

<b>P.U.C. Sheet No.</b>	<b>Title of Sheet</b>
9733-W	Schedule No. MO - 1C (continued), Non-Residential Customers General Metered Service in the Monterey County District Tariff Area
9734-W	Schedule No. MO - 1C (continued), Non-Residential Customers General Metered Service in the Monterey County District Tariff Area
9735-W	Schedule No. MO - 1O (continued) General Monterey County District Tariff Area General Metered Service Other Customers
9736-W	Schedule No. MO - 1O (continued) General Monterey County District Tariff Area General Metered Service Other Customers
9737-W	Table of Contents, (Page 3)
9738-W	Table of Contents, (Page 1)

Please contact Bradley Leong at [BL4@cpuc.ca.gov](mailto:BL4@cpuc.ca.gov) or 415-703-2307, if you have any questions.

Thank you,

/s/ROBIN BRYANT

Robin Bryant  
Water Division

Enclosures

**CALIFORNIA PUBLIC UTILITIES COMMISSION  
DIVISION OF WATER AND AUDITS**

**Advice Letter Cover Sheet**

**Utility Name:** California American Water  
**District:** Monterey Service Area  
**CPUC Utility #:** U210W  
**Advice Letter #:** 1297  
**Tier:**  1    2    3    Compliance  
**Authorization:** D.08-11-023, D.12-04-048,  
D.13-07-041, D.18-12-021  
**Description:** 2017 Monterey WRAM & MCBA Non-Residential

**Date Mailed to Service List:** June 10, 2020  
**Protest Deadline (20<sup>th</sup> Day):** June 30, 2020  
**Review Deadline (30<sup>th</sup> Day):** July 10, 2020  
**Requested Effective Date:** June 27, 2020  
**Rate Impact:** \$See AL  
See AL%

The protest or response deadline for this advice letter is 20 days from the date that this advice letter was mailed to the service list. Please see the "Response or Protest" section in the advice letter for more information.

**Utility Contact:** Nancy Hollingsworth  
**Phone:** 916-568-4209  
**Email:** Nancy.hollingsworth@amwater.com

**Utility Contact:** Jonathan Morse  
**Phone:** 916-568-4246  
**Email:** Jonathan.Morse@amwater.com

**DWA Contact:** Tariff Unit  
**Phone:** (415) 703-1133  
**Email:** [Water.Division@cpuc.ca.gov](mailto:Water.Division@cpuc.ca.gov)

**DWA USE ONLY**

<u>DATE</u>	<u>STAFF</u>	<u>COMMENTS</u>
_____	_____	_____
_____	_____	_____
_____	_____	_____

APPROVED

WITHDRAWN

REJECTED

**Signature:** \_\_\_\_\_

**Comments:** \_\_\_\_\_

**Date:** \_\_\_\_\_

\_\_\_\_\_



4701 Beloit Drive  
 Sacramento, CA 95838  
[www.amwater.com](http://www.amwater.com)

P (916)-568-4251  
 F (916) 568-4260

June 10, 2020

ADVICE LETTER NO. 1297

TO THE PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA

California-American Water Company (California American Water) (U210W) hereby submits for review this advice letter including the following tariff sheets applicable to its Monterey District which are attached hereto:

<u>C.P.U.C. Sheet No.</u>	<u>Title of Sheet</u>	<u>Canceling Sheet No.</u>
9733 -W	Schedule No. MO - 1C (continued) General Metered Service in the Monterey County District Tariff Area NON-RESIDENTIAL CUSTOMERS	9717-W
9734 -W	Schedule No. MO - 1C (continued) General Metered Service in the Monterey County District Tariff Area NON-RESIDENTIAL CUSTOMERS	9718-W
9735 -W	Schedule No. MO – 1O (continued) General Monterey County District Tariff Area GENERAL METERED SERVICE OTHER CUSTOMERS	9720-W
9736 -W	Schedule No. MO – 1O (continued) General Monterey County District Tariff Area GENERAL METERED SERVICE OTHER CUSTOMERS	9721-W
9737 -W	TABLE OF CONTENTS (PAGE 3)	9723 -W
9738 -W	TABLE OF CONTENTS (PAGE 1)	9732 -W

**PURPOSE**

The purpose of this advice letter is to request implementation of California American Water’s 2017 non-residential Water Revenue Adjustment Mechanism (“WRAM”) and Modified Cost Balancing Account (“MCBA) surcharges based on the authorized balance in advice letter 1199. California American Water identified a unit conversion error in the calculation of the surcharge in advice letter 1199 which is corrected in this filing. In addition, this advice letter updates the special condition language regarding the future collection of the 2018 WRAM/MCBA under collected balances.

**BACKGROUND**

D.09-07-021 originally approved the WRAM and MCBA for Monterey. Certain requirements from the original process were modified in D.13-07-041. Notably, the

settlement adopted by D.13-07-041 agreed to certain procedures outlined D.12-040-048, which California American Water was not a party to.

*The parties agree that California American Water would use the same amortization schedules and procedures that were established for other Class A water utilities in D.12-04-048 for the WRAM/MCBA in all districts. This includes:*

- 1. Amortization of net WRAM/MCBA balances at or above 2% of the last authorized revenue requirement, as described in Ordering Paragraph 2;*
- 2. The process to not initiate the cap until 2015, which is the first test year of the GRC to be filed July 1, 2013, as discussed in Ordering Paragraph 3;...*
- 3. A vigorous review of the WRAM/MCBA as well as sales forecasting to be conducted in the next GRC (Test Year 2015), as discussed in Ordering Paragraph 3;*
- 4. Cal Am will submit its annual request for amortization of net WRAM/MCBA balances by a Tier 1 advice letter on or before March 31, as described in Ordering Paragraph 5;*
- 5. California American cannot include any additional type or category of cost in their Tier 1 Advice Letters that was not included in their Annual Report as described in Ordering Paragraph 9;*
- 6. California American Water will separate the WRAM/MCBA surcharges on customer bills if it is capable of doing so with its new billing system, as discussed for other utilities in Ordering Paragraph 10.*

Additional criteria on the WRAM amortization process were outlined in D.12-04-048 as follows:

#### *Ordering Paragraphs*

- 1. We adopt the amortization schedule set forth in Appendix A with a cap on total net Water Revenue Adjustment Mechanism/Modified Cost Balancing Account (WRAM/MCBA) surcharges of 10% of the last authorized revenue requirement...WRAM balances incurred prior to the first test year referenced above continue to be amortized under the adopted amortization schedule without being subject to the surcharge cap.*

Further, D.16-12-003 addressed the recovery of balances through December 31, 2014. The decision authorized recovery of a meter-based surcharge over five years. This was implemented through the filing of advice letter 1146-A. D.16-12-003 also modified the recovery method for future surcharges. Ordering paragraph two states in relevant part:

- 2. Future Water Revenue Adjustment Mechanism/Modified Cost Balancing Account (WRAM/MCBA) advice letters filed and served by California-American Water Company (Cal-Am) for the Monterey District shall request recovery of under-collections (or refunds of over-collections) by a uniform surcharge (or surcredit) on each unit of water sold (volumetric rate) including Tier 1. This applies to all WRAM/MCBA balances recovered once the new rate design is implemented.*

**REQUEST**

Advice Letter 1199 was approved on October 5, 2018 and authorized the balances for the WRAM and MCBA summarized in the table below.

Description	WRAM/MCBA Over/(Under) Collection	Cumulative Interest Earned/ Accrued	Cumulative Surcharge Collections	Balance
<b>Residential</b>				
Current Year Balance	(\$1,868,342)	(\$16,272)	\$0	(\$1,884,613)
UAW Penalty/(Reward)				(\$603,706)
Total 2017 Residential Balance Requested				(\$2,488,319)
2017 Adopted Revenue				\$33,526,575
2017 Balance % of Revenue				7.4%
<b>Non-Residential</b>				
Current Year Balance	(\$2,396,313)	(\$18,780)	\$0	(\$2,415,093)
UAW Penalty/(Reward)				(\$347,830)
Total 2017 Non-Residential Balance Requested				(\$2,762,923)
2017 Adopted Revenue				\$18,719,235
2017 Balance % of Revenue				14.8%

Based on the above balances and the current authorized consumption, and the adopted amortization schedule per D.12-04-048 Appendix A, California American Water requests the following volumetric surcharges to be collected over 18 months for non-residential customers.

The non-residential customers would be charged over an 18-month period as follows:

<b>Non-Residential</b>		
	Surcharge per 100 gal	Months
<b>Monterey Main, Hidden Hills, Ryan Ranch, &amp; Bishop Systems:</b>		
Division 1	\$0.1363	18
Division 2	\$0.1533	18
Division 3	\$0.1703	18
Division 4	\$0.3407	18

	Surcharge per 100 gal	Months
<b>OWU-Permanent</b>		
Block 1	\$0.0811	18
Block 2	\$0.1622	18
Block 3	\$0.6486	18
OWU-Temporary	\$0.0811	18

### **SERVICE LIST**

Pursuant to Section 4.3 of General Order No. 96-B, a copy of this advice letter is being sent to those entities listed in the attached service list. Copies of the detailed workpapers and the documents supporting this Advice Letter have also been furnished to the Commission Staff.

### **EFFECTIVE DATE**

Cal-Am submits this as a Tier 1 advice letter per D.13-07-041 and requests an effective date of June 27, 2020.

### **RESPONSE OR PROTEST**<sup>1</sup>

Anyone may submit a response or protest for this AL. When submitting a response or protest, **please include the utility name and advice letter number in the subject line.**

A **response** supports the filing and may contain information that proves useful to the Commission in evaluating the AL. A **protest** objects to the AL in whole or in part and must set forth the specific grounds on which it is based. These grounds<sup>2</sup> are:

1. The utility did not properly serve or give notice of the AL;
2. The relief requested in the AL would violate statute or Commission order, or is not authorized by statute or Commission order on which the utility relies;
3. The analysis, calculations, or data in the AL contain material error or omissions;
4. The relief requested in the AL is pending before the Commission in a formal proceeding; or
5. The relief requested in the AL requires consideration in a formal hearing, or is otherwise inappropriate for the AL process; or
6. The relief requested in the AL is unjust, unreasonable, or discriminatory, provided that such a protest may not be made where it would require relitigating a prior order of the Commission.

A protest may not rely on policy objections to an AL where the relief requested in the AL follows rules or directions established by statute or Commission order applicable to the utility. A protest shall provide citations or proofs where available to allow staff to properly consider the protest.

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<sup>1</sup> G.O. 96-B, General Rule 7.4.1

<sup>2</sup> G.O. 96-B, General Rule 7.4.2

DWA must receive a response or protest via email (or postal mail) within 20 days of the date the AL is filed. When submitting a response or protest, **please include the utility name and advice letter number in the subject line.**

The addresses for submitting a response or protest are:

**Email Address:**  
[Water.Division@cpuc.ca.gov](mailto:Water.Division@cpuc.ca.gov)

**Mailing Address:**  
CA Public Utilities Commission  
Division of Water and Audits  
505 Van Ness Avenue  
San Francisco, CA 94102

On the same date the response or protest is submitted to the Water Division, the respondent or protestant shall send a copy by mail (or e-mail) to us, addressed to:

<b>Recipients:</b>	<b>E-Mail:</b>	<b>Mailing Address:</b>
Jonathan Morse ..... <i>Manager of Rates &amp; Regulatory</i>	jonathan.morse@amwater.com .....	4701 Beloit Drive Sacramento, CA 95838 Fax: (916) 568-4237
Sarah E. Leeper ..... <i>Vice President – Legal, Regulatory</i>	sarah.leeper@amwater.com .....	555 Montgomery Street, Ste. 816 San Francisco, CA 94111 Fax: (415) 863-0615
ca.rates.....	ca.rates@amwater.com .....	4701 Beloit Drive Sacramento, CA 95838 Fax: (916) 568-4260

Cities and counties that need Board of Supervisors or Board of Commissioners approval to protest should inform the Water Division, within the 20-day protest period, so that a late filed protest can be entertained. The informing document should include an estimate of the date the proposed protest might be voted on.

**REPLIES**<sup>3</sup>

The utility shall reply to each protest and may reply to any response. Any reply must be received by DWA within five business days after the end of the protest period, and shall be served on the same day on each person who filed the protest or response to the AL.

The actions requested in this advice letter are not now the subject of any formal filings with the California Public Utilities Commission, including a formal complaint, nor action in any court of law.

If you have not received a reply to your protest within 10 business days, please contact Nancy Hollingsworth at (916) 568-4209.

This filing will not cause the withdrawal of service, nor conflict with other schedules or rules.

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<sup>3</sup> G.O. 96-B, General Rule 7.4.3

CALIFORNIA-AMERICAN WATER COMPANY

*/s/ Jeffrey T. Linam*

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Jeffrey T. Linam  
Vice President of Rates & Regulatory



Schedule No. MO-1C (Continued)  
 General Metered Service in the Monterey County District Tariff Area  
NON-RESIDENTIAL CUSTOMERS

**SPECIAL CONDITIONS (continued):**  
**Fees and Surcharges**

7. Per Advice Letter 1199, the balance of the 2017 non-residential WRAM/MCBA is \$2,762,923 as of December 31, 2017. The surcharge is shown in the table below and will remain effective until December 2021.

<b>Non-Residential</b>		
<b>Monterey Main, Hidden Hills, Ryan Ranch, &amp; Bishop Systems:</b>	<b>Surcharge per 100 gal</b>	<b>Months</b>
Division 1	\$0.1363	18
Division 2	\$0.1533	18
Division 3	\$0.1703	18
Division 4	\$0.3407	18

8. In accordance with the final decision issued in Decision 16-12-003, the WRAM/MCBA balance through 2014 for the Monterey District shall be recovered over five years with interest at the 90-day commercial paper rate. The surcharge is shown in the table below and will remain effective for 60 months upon approval of Advice Letter 1146-A, ending February 28, 2022.

<b>Meter Size</b>	<b>Meter Surcharge</b>
For 5/8" x 3/4" meter	\$4.21
For 3/4" meter	\$8.42
For 1" meter	\$12.63
For 1-1/2" meter	\$21.05
For 2" meter	\$33.68
For 3" meter	\$63.15
For 4" meter	\$102.25
For 6" meter	\$210.50
For 8" meter	\$336.80

(Continued)

(TO BE INSERTED BY UTILITY)  
 Advice 1297  
 Decision

ISSUED BY  
 J. T. LINAM  
 DIRECTOR - Rates & Regulatory

(TO BE INSERTED BY C.P.U.C.)  
 Date Filed 06/10/2020  
 Effective 06/27/2020  
 Resolution \_\_\_\_\_

(D)  
 (N)  
 (N)  
 (D)  
 (L)  
 (L)

Schedule No. MO-1C (Continued)  
General Metered Service in the Monterey County District Tariff Area  
NON-RESIDENTIAL CUSTOMERS

Sheet 9

**SPECIAL CONDITIONS (continued):**  
**Fees and Surcharges**

9. Per Advice Letter 1230-B, the under-collected balance in the Monterey County District Consolidated Expense Balancing Account will be recovered through a quantity based surcharge of \$0.0827 per 100 gallons over 24 months effective May 1, 2019. This total amount will be recovered from all classes of customers except for Chualar Customers.
10. Per D.18-12-021 a meter based bill credit for the Excess Non-Plant Accumulated Deferred Income Tax will be refunded to customers over the 24-month period beginning October 17, 2019.

Meter Size	Refunds by Meter Equivalents
5/8 x 3/4	\$ 1.47
3/4	\$ 2.21
1	\$ 3.68
1 1/2	\$ 7.35
2	\$ 11.77
3	\$ 22.06
4	\$ 36.77
6	\$ 73.54
8	\$ 117.66
10	\$ 169.14

11. Per Advice Letter 1265-A, the net under-collected balance of the 2017 and 2018 residential WRAM/MCBA is \$107,230, including interest. D.18-12-021 raises the cap on WRAM/MCBA surcharges to 15% of the authorized revenue requirement. After cessation of the 2016 surcharge, a separate Tier 1 filing will be made at that time to update the tariff language and surcharge with the then current authorized amortization. (C)(L)  
(C)  
(D)(C)  
(C)  
(C)
12. Per Advice Letter 1291-A, the net under-collected balance of the 2019 residential WRAM/MCBA is \$982,007, including interest. D.18-12-021 raises the cap on WRAM/MCBA surcharges to 15% of the authorized revenue requirement. After cessation of the 2016 surcharge, a separate Tier 1 filing will be made at that time to update the tariff language and surcharge with the then current authorized amortization. (D)

(Continued)

(TO BE INSERTED BY UTILITY)

ISSUED BY

(TO BE INSERTED BY C.P.U.C.)

Advice 1297

J. T. LINAM

Date Filed 06/10/2020

Decision

DIRECTOR - Rates & Regulatory

Effective 06/27/2020

Resolution \_\_\_\_\_

Schedule No. MO-10 (Continued)  
 General Metered Service in the Monterey County District Tariff Area  
OTHER CUSTOMERS

Sheet 6

**SPECIAL CONDITIONS (continued):**

**Fees and Surcharges**

7. Per Advice Letter 1199, the balance of the 2017 non-residential WRAM/MCBA is \$2,762,923 as of December 31, 2017. The surcharge is shown in the table below and will remain effective until December 2021.

(D)

(N)

	Surcharge per 100 gal	Months
<b>OWU-Permanent</b>		
Block 1	\$0.0811	18
Block 2	\$0.1622	18
Block 3	\$0.6486	18
OWU-Temporary	\$0.0811	18

8. In accordance with the final decision issued in Decision 16-12-003, the WRAM/MCBA balance through 2014 for the Monterey District shall be recovered over five years with interest at the 90-day commercial paper rate. The surcharge is shown in the table below and will remain effective for 60 months upon approval of Advice Letter 1146-A, ending February 28, 2022.

(N)

(D)

(L)

Meter Size	Meter Surcharge
For 5/8" x 3/4" meter	\$4.21
For 3/4" meter	\$8.42
For 1" meter	\$12.63
For 1-1/2" meter	\$21.05
For 2" meter	\$33.68
For 3" meter	\$63.15
For 4" meter	\$102.25
For 6" meter	\$210.50
For 8" meter	\$336.80

(L)

(Continued)

(TO BE INSERTED BY UTILITY) Advice 1297 Decision	ISSUED BY J. T. LINAM DIRECTOR - Rates & Regulatory	(TO BE INSERTED BY C.P.U.C.) Date Filed <u>06/10/2020</u> Effective <u>06/27/2020</u> Resolution _____
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Schedule No. MO-10 (Continued)  
General Metered Service in the Monterey County District Tariff Area  
OTHER CUSTOMERS

Sheet 7

**SPECIAL CONDITIONS (continued):**  
**Fees and Surcharges**

9. Per Advice Letter 1230-B, the under-collected balance in the Monterey County District Consolidated Expense Balancing Account will be recovered through a quantity based surcharge of \$0.0827 per 100 gallons over 24 months effective May 1, 2019. This total amount will be recovered from all classes of customers except for Chualar Customers.
10. Decision 12-06-040 authorized Carmel River Reroute and San Clemente Dam Removal Project costs to be included in the San Clemente Dam balancing account. Per Decision 18-12-021, California American Water will recover the regulatory asset / San Clemente Dam balancing account in base rates over a 20-year period starting January 1, 2018. Current authorized recovery of \$7,921,004 per annum is included in base rates.
11. Per D.18-12-021 a meter-based bill credit for the Excess Non-Plant Accumulated Deferred Income Tax will be refunded to customers over the 24-month period beginning October 17, 2019.

Meter Size	Refunds by Meter Equivalent
5/8 x 3/4	\$1.47
3/4	\$2.21
1	\$3.68
1 1/2	\$7.35
2	\$11.77
3	\$22.06
4	\$36.77
6	\$73.54
8	\$117.66
10	\$169.14

12. Per Advice Letter 1265-A, the net under-collected balance of the 2018 Non-Residential WRAM/MCBA is \$106,180, including interest. D.18-12-021 raises the cap on WRAM/MCBA surcharges to 15% of the authorized revenue requirement. Rates will be established through a separate Tier 1 filing to update the tariff language and surcharge based upon the then current authorized amortization
13. Per Advice Letter 1291-A, the net under-collected balance of the 2019 residential WRAM/MCBA is \$982,007, including interest. D.18-12-021 raises the cap on WRAM/MCBA surcharges to 15% of the authorized revenue requirement. After cessation of the 2016 surcharge, a separate Tier 1 filing will be made at that time to update the tariff language and surcharge with the then current authorized amortization.

(C)(L)  
(C)  
(D)(C)  
(C)

(Continued)

(TO BE INSERTED BY UTILITY)

Advice 1297  
Decision

ISSUED BY

J. T. LINAM  
DIRECTOR - Rates & Regulatory

(TO BE INSERTED BY C.P.U.C.)

Date Filed 06/10/2020  
Effective 06/27/2020  
Resolution \_\_\_\_\_

TABLE OF CONTENTS

Sheet 3

**SUBJECT MATTER OF SHEET**  
**RATE SCHEDULES** (Continued):

**C.P.U.C. SHEET NO.**

**Monterey County District (Continued):**

MO-1-MF	General Metered Service Multi-Residential Customers	9429-W, 9411-W, 8951-W, 8952-W, 9119-W, 9714-W, 9715-W, 9716-W, 9580-W, 9613-W	
MO-1C	General Metered Service Non-Residential Customers	9430-W, 8957-W, 8958-W, 8959-W, 8960-W, 8961-W, 9120-W, 9733-W, 9734-W, 9719-W, 9581-W, 9614-W	(C)
MO-1O	General Metered Service Other Customers	9413-W, 9414-W, 8967-W, 8968-W, 9121-W, 9735-W, 9736-W, 9722-W, 9582-W, 9615-W	(C) (C)

**Central Satellite**

CEN-1	General Metered Service	9415-W, 9416-W, 8974-W, 9707-W, 9708-W, 9584-W, 9585-W	
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**Northern District**

ND-1	General Metered Service	9391-W, 9392-W, 9393-W, 9394-W, 9164-W, 9442-W, 9704-W, 9593-W, 9594-W, 9595-W	
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**San Diego County District**

SD-1	General Metered Service	9385-W, 9386-W, 9698-W, 9622-W, 9623-W	
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**Ventura County District**

VN-1	General Metered Service	9377-W, 9378-W, 9695-W, 9220-W, 9603-W	
VN-9MC	Metered Construction Service	9521-W, 9522-W, 9523-W, 9604-W	

(Continued)

(TO BE INSERTED BY UTILITY)

Advice 1297  
Decision

ISSUED BY

J. T. LINAM  
DIRECTOR - Rates & Regulatory

(TO BE INSERTED BY C.P.U.C.)

Date Filed 06/10/2020  
Effective 06/27/2020  
Resolution \_\_\_\_\_

TABLE OF CONTENTS

Sheet 1

<u>SUBJECT MATTER OF SHEET</u>	<u>C.P.U.C. SHEET NO.</u>
TITLE PAGE	9556-W
TABLE OF CONTENT	9738-W, 9731-W, 9737-W, 9730-W, 9082-W, 8869-W, 8868-W, 8593-W, 8071-W (C)
PRELIMINARY STATEMENTS	9650-W, 9651-W, 9652-W, 9653-W, 9654-W, 9655-W, 9656-W, 9657-W, 9658-W, 9659-W, 9660-W, 9661-W, 9662-W, 9663-W, 9664-W, 9665-W, 9666-W, 9667-W, 9668-W, 9669-W, 9670-W, 9671-W, 9672-W, 9673-W, 9674-W, 9675-W, 9676-W, 9677-W, 9678-W, 9679-W, 9680-W, 9681-W, 9682-W, 9683-W, 9684-W, 9685-W, 9686-W, 9687-W, 9688-W, 9689-W, 9690-W, 9691-W, 9692-W, 9693-W, 9694-W
<b><u>SERVICE AREA MAP:</u></b>	
California-American Water Company	5470-W
Fruitridge Vista	9487-W
Hillview Service Area	9557-W
Larkfield	6569-W
Los Angeles County	9157-W, 9158-W
Baldwin Hills	6571-W, 6572-W,
Duarte	6578-W
San Marino	6573-W, 6574-W, 6575-W, 6576-W, 8211-W
Monterey County	7053-W, 6580-W, 6581-W, 6582-W, 6583-W, 6584-W, 6585-W, 6586-W, 6587-W, 6588-W, 6589-W, 6590-W, 944-W, 945-W, 947-W, 948-W, 949-W, 950-W, 951-W, 952-W, 953-W, 954-W, 955-W, 957-W, 958-W, 959-W, 960-W, 961-W, 962-W, 963-W, 964-W, 966-W, 967-W, 968-W, 969-W, 971-W, 972-W, 973-W, 974-W, 975-W, 976-W, 977-W, 978-W, 979-W, 980-W, 981-W, 982-W, 983-W, 984-W, 7054-W

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<u>(TO BE INSERTED BY UTILITY)</u>	<u>ISSUED BY</u>	<u>(TO BE INSERTED BY C.P.U.C.)</u>
Advice      1297	J. T. LINAM	Date Filed <u>06/10/2020</u>
Decision	DIRECTOR - Rates & Regulatory	Effective <u>06/27/2020</u>
		Resolution      _____

**MONTEREY COUNTY DISTRICT SERVICE LIST**  
**CALIFORNIA-AMERICAN WATER COMPANY**  
**ADVICE LETTER 1297**

---

**BY MAIL:**

Joe Lucido  
25417 Boots Road  
Monterey, CA 93940

Los Angeles Docket Office  
California Public Utilities Commission  
320 West 4th Street, Suite 500  
Los Angeles, CA 90013

Alco Water Service  
249 Williams Road  
Salinas, CA 93901

Monterey Regional Water Pollution  
Control Agency (MRWPCA)  
5 Harris Court Road. Bldg D.  
Monterey, CA 93940

Monterey Peninsula Water Mgmt Dist.  
Chief Financial Officer  
P.O. Box 85  
Monterey, CA 93942

Yazdan Emrani, P.E.  
Deputy Pub Works Director – Operations  
Monterey County DPW  
168 W. Alisal Street, 2nd floor  
Salinas, CA 93901-2680

City of Pacific Grove  
c/o Community Development Department  
Attention: Sarah Hardgrave  
300 Forest Ave., 2nd floor  
Pacific Grove, CA 93950

City of Pacific Grove  
City Attorney/City Hall  
300 Forest Ave 2nd floor  
Pacific Grove, CA 93950

Ann Camel  
City Clerk  
City of Salinas  
200 Lincoln Avenue  
Salinas, CA 93901

City of Sand City  
City Hall  
California & Sylvan Avenues  
Sand City, CA 93955  
Attn: City Clerk

Deborah Mall, City Attorney  
City of Monterey  
512 Pierce Street  
Monterey, CA 93940

Karen Crouch  
City Clerk,  
Carmel-By-The-Sea  
PO Box CC  
Carmel-by-the-Sea, CA 93921

Darryl D. Kenyon  
Monterey Commercial Property Owners  
Association  
P.O. Box 1953  
Monterey, CA 93942

Irvin L. Grant  
Deputy County Counsel  
County of Monterey  
168 W. Alisal Street, 3rd floor  
Salinas, CA 93901-2680

Marc J. Del Piero  
4062 El Bosque Drive  
Pebble Beach, CA 93953-3011

Vibeke Norgaard  
City Attorney of Sand City  
P.O. Box 183  
Carmel, CA 93921

**MONTEREY COUNTY DISTRICT SERVICE LIST**  
**CALIFORNIA-AMERICAN WATER COMPANY**  
**ADVICE LETTER 1297**

**BY E-MAIL:**

Richard Rauschmeier  
California Public Utilities Commission  
DRA - Water Branch, Rm 4209  
505 Van Ness Ave  
San Francisco, CA 94102  
rra@cpuc.ca.gov

City of Del Rey Oaks  
City Hall  
650 Canyon Del Rey Road  
Del Rey Oaks, CA 93940  
Attn: City Clerk  
citymanager@delreyoaks.org  
kminami@delreyoaks.org

City of Seaside, City Hall  
Attn: City Clerk  
Seaside, CA  
[dhodgson@ci.seaside.ca.us](mailto:dhodgson@ci.seaside.ca.us)  
to'halloran@ci.seaside.ca.us

David C. Laredo and Fran Farina  
DeLay & Laredo  
606 Forest Ave  
Pacific Grove, CA 93950  
[dave@laredolaw.net](mailto:dave@laredolaw.net)  
[fran@laredolaw.net](mailto:fran@laredolaw.net)

Jon Giffen  
City Attorney  
City of Carmel-By-The-Sea  
P.O. Box 805  
Carmel-By-The-Sea, CA 93921  
[jgiffen@kaglaw.net](mailto:jgiffen@kaglaw.net)

Brent Reitz  
Capital Services  
P.O. Box 1767  
Pebble Beach CA 93953  
reitzb@pebblebeach.com

Ms. Lisa Bilir  
California Public Utilities Commission  
Division of Ratepayer Advocates  
505 Van Ness Avenue  
San Francisco, CA 94102

Bernardo R. Garcia  
PO Box 37  
San Clemente, CA 92674-0037  
uwua@redhabanero.com

George Riley  
Citizens for Public Water  
1198 Castro Road  
Monterey, CA 91940  
georgetriley@gmail.com

Mike Niccum  
General Manager  
Pebble Beach Community Services District  
3101 Forest Lake Road  
Pebble Beach, CA 93953  
mniccum@pbcsd.org

Carmel Area Wastewater District  
3945 Rio Road  
Carmel, CA 93923  
buikema@cawd.org

Lloyd Lowery Jr.  
Noland, Hammerly, Etienne & Hoss P.C.  
333 Salinas St  
PO Box 2510  
Salinas, CA 93902-2510  
lflowrey@nheh.com

David Heuck  
Accounting  
2700 17 Mile Drive  
Pebble Beach, CA 93953  
heuckd@pebblebeach.com

Division of Ratepayer Advocates  
California Public Utilities Commission  
dra\_water\_al@cpuc.ca.gov

Laura L. Krannawitter  
California Public Utilities Commission  
Executive Division, Rm 5303  
505 Van Ness Avenue  
San Francisco, CA 94102  
llk@cpuc.ca.gov

Jim Heisinger  
P.O. Box 5427  
Carmel, CA 93921  
hbm@carmellaw.com

City of Monterey  
City Hall  
Monterey, CA 93940  
Attn: City Clerk  
connolly@ci.monterey.ca.us

Gail T. Borkowski, Clerk of the Board  
County of Monterey  
P.O. Box 1728  
Salinas, CA 93902  
boydap@co.monterey.ca.us

City of Salinas  
Vanessa W. Vallarta – City Attorney  
200 Lincoln Avenue  
Salinas, CA 93901  
vanessav@ci.salinas.ca.us  
chrisc@ci.salinas.ca.us  
John K. Hawks  
Executive Director  
California Water Association  
601 Van Ness Avenue, Suite 2047  
San Francisco, CA 94102-3200  
jhawks\_cwa@comcast.net



**MONTEREY COUNTY DISTRICT SERVICE LIST**  
**CALIFORNIA-AMERICAN WATER COMPANY**  
**ADVICE LETTER 1297**

Monterey Peninsula Water Mgmt Dist.  
Chief Financial Officer  
P.O. Box 85  
Monterey, CA 93942  
suresh@mpwmd.net

Arlene Tavani  
Monterey Peninsula Water Mgmt Dist.  
Executive Assistant  
arlene@mpwmd.net

Sheri Damon  
City of Seaside, City Attorney  
440 Harcourt Avenue  
Seaside, CA 93955  
[cityatty@ix.netcom.com](mailto:cityatty@ix.netcom.com)